

## STATEMENT SUBMITTED TO THE ANNUAL GENERAL MEETING OF SHAREHOLDERS 2021

*(Re: Purchase of Directors and Officers Liability Insurance)*

**Kind attn to: THE GENERAL MEETING OF SHAREHOLDERS OF DHG PHARMA**

- Pursuant to the Enterprise Law No.59/2020/QH14 dated 17 June 2020 and documents guiding the implementation of the Enterprise Law;
- Pursuant to the Charter of DHG Pharmaceutical JSC (DHG Pharma) issued on 19 Apr 2021;
- To improve reputation and create trust for shareholders in accordance with current regulations, aiming to transparent and professional governance practices,

Directors & Officers Liability Insurance (D&O) is a type of insurance written to mitigate the economic losses due to insured events occurring when the insured persons (directors and officers) have a legal obligation to compensate shareholders, creditors, regulators, competitors, the public, etc. about a wrongful statement or incorrect report or any incomplete or incorrect operating decision or any wrongdoing that results in adverse circumstances, such as financial loss to the Company. In addition to its function as a type of liability insurance to help directors and officers avoid risks during the operating process, D&O insurance will help businesses improve reputation and create trust for shareholders in accordance with current regulations, aiming to transparent and professional governance practices.

Thus, the Board of Directors would like to submit to the General Meeting of Shareholders to approve for DHG Pharmaceutical JSC to yearly buy the D&O liability insurance with the following contents:

- Coverage: When participating in this type of insurance, losses arising from claims for wrongdoings caused by directors and officers or allegedly caused while working under their competence as well as payments for costs and expenses (Ex: attorneys fees, experts fees, costs of attending court sessions, etc.) incurred in accordance with applicable laws may be covered by the Insurance Company.
- Object insured: Chairperson and members of the BOD, General Director, Chief Operating Officer, Deputy General Directors, Chief Accountant, Functional Directors, Heads and Deputy Heads of Units and Branch Managers.

- Period insured: 1 year and yearly renewable
- The Board of Directors is authorized to: carry out the procedures to yearly purchase the D&O liability insurance on the basis of compliance with the Company's regulations and current laws.

Kindly submit to the General Meeting of Shareholders for consideration and approval.

Best regards./.

**Recipients:**

- *As Kind Attn to;*
- *Archived: BOD Secretary.*

**OBO. THE BOARD OF DIRECTORS  
BOD MEMBER, GENERAL DIRECTOR**

**Masashi Nakaura**

[NOTICE: This Convocation Notice is a translation of the Vietnamese language original for convenience purpose only, and in the event of any discrepancy, the Vietnamese language original shall prevail.]