

No.: 051/DHG-FN

Cantho, 28th January 2019

**REPORT ON CORPORATE GOVERNANCE
OF DHG PHARMACEUTICAL JOINT STOCK COMPANY
(in 2018)**

Kind Attn to: - **State Securities Commission**
- **Ho Chi Minh City Stock Exchange**

- Company's name: DHG Pharmaceutical Joint Stock Company
- Address of head office: 288 Bis Nguyen Van Cu Str., An Hoa Ward, Ninh Kieu Dist., Cantho City
- Tel: 02923 891433 Fax: 02923 895209
- Email: dhgpharma@dhgpharma.com.vn
- Charter capital: **VND 1,307,460,710,000**
- Stock ticker: DHG

I. Activities of the General Meeting of Shareholders:

No.	Resolution	Date	Content
1.	001/2018/ NQ.ĐHĐCĐ	28 Mar 2018	<p>The 2017 Annual General Meeting of Shareholders (AGM) approved the following contents:</p> <ul style="list-style-type: none"> - Report of the Board of Directors (BOD) on the evaluation of the Company's business result in 2017; Performance and remuneration of the BOD in 2017; Directions, production and business plan for 2018; Adjustment of strategic goals and business targets 2018 – 2019 – 2020. - Report of the Board of Supervisory (BOS) in 2017. - Audited consolidated financial statements in 2017. - Selection of independent auditor for financial statements FY 2018. - Profit distribution 2017 and profit distribution plan 2018. - The merger plan of DHG Packaging and Printing 1 One Member Limited Company (DHG PP1) and DHG Pharmaceutical One Member Limited Company (DHG Pharma Ltd.) into DHG Pharma. - Additional business lines and amendment, supplement of the Company's Charter. - Amendment and supplement of the Regulation on Internal Governance. - Additional election for an independent BOD member Term III (2014 – 2018) - Removal and additional election for the Supervisor as a replacement Term III (2014 – 2018).

II. The Board of Directors (in 2018):

1. Information about the Board of Directors' members

No.	BOD members	Position	Starting date to be a BOD member	Meeting attendance	Rate	Reasons for absence
1.	<i>Mr. Nguyen Chi Thanh</i>	BOD Chairman	26 Oct 2017	19/19	100%	
2.	<i>Mdm. Pham Thi Viet Nga</i>	BOD Member	08 Sept 2004	19/19	100%	
3.	<i>Mr. Đoàn Đình Duy Khuong</i>	BOD Member Acting General Director	29 Apr 2010	19/19	100%	
4.	<i>Mr. Tran Chi Liem</i>	Independent BOD member	28 Apr 2014	19/19	100%	
5.	<i>Mr. Jun Kuroda</i>	BOD Member	15 Aug 2016	19/19	100%	
6.	<i>Mrs. Dang Thi Thu Ha</i>	BOD Member	28 July 2017	19/19	100%	
7.	<i>Mr. Phan Minh Tien</i>	Independent BOD member	28 Mar 2018	14/19	73.68%	Additional election from 28 Mar 2018

2. Supervising activities of the Board of Directors over the Board of Management

Supervising and supporting activities of the BOD over the Board of Management (BOM) include:

- Supervising the implementation of Resolutions of the General Meeting of Shareholders, Minutes and Resolutions of the BOD.
- Assessing and giving feedback on management activities in terms of investment, finance, market, sales, human resources, production and quality.

3. Activities of Subcommittees under the Board of Directors

The BOD Term 2014-2018 has set up 03 Subcommittees, including Strategic Subcommittee; Audit and Risk Management Subcommittee and Nomination and Remuneration Subcommittee. Quarterly, Subcommittees organize meetings and report to the BOD within the scope of assignments.

- Strategic Subcommittee: Developing strategies for production and business in 2018; Developing mid-term plans for development, strategic objectives and business targets up to 2020; Giving advice in terms of the dividend rate in 2017 for the BOD and the plan to pay dividends in 2018; Quarterly assessing the implementation of the strategies.
- Audit and Risk Management Subcommittee: Monitoring financial status through reviewing financial statements and working with independent auditors; Supervising compliance in production and business activities through the operation of Internal Control and Risk Management Department.
- Nomination and Remuneration Subcommittee: Building training programs in 2018; Proposing and advising the BOD on development of human resources and senior managers; Preparing quarterly and annual HR reports.

4. Resolutions of the Board of Directors

In 2018, the BOD conducted 06 face-to-face meetings and 13 meetings via email to resolve issues under the BOD's functions and authorities.

No.	Resolution No.	Date	Content of Resolutions
1.	001/2018/NQ.HĐQT	11 Jan 2018	Approval of the investment proposal to purchase a machine for the factory.
2.	002/2018/NQ.HĐQT	24 Jan 2018	<p>Approval of the BOD Secretary's report; The BOM's report; Report of the Strategic Subcommittee; Report of the Nomination and Remuneration Subcommittee in 2017 and plans in 2018.</p> <p>Approval of contents prepared for the AGM 2017. Establishment of DHG Pharma's dependent cost-accounting branch in Hau Giang; Personnel re-structuring of Subcommittees under the BOD and other contents within the BOD's authorities.</p>
3.	003/2018/NQ.HĐQT	28 Feb 2018	<p>Continuously appointing Mr. Doan Dinh Duy Khuong as Legal Representative, Acting General Director of DHG Pharma until 31 Dec 2018.</p> <p>Approving the investment proposal to purchase a machine for the factory.</p>
4.	004/2018/NQ.HĐQT	10 Mar 2018	<p>Approval of the business targets of 2018; The merger plan of DHG Pharma Ltd. and DHG PP1 into DHG Pharma; Nomination of Mr. Phan Minh Tien to be an independent BOD member Term III (2014 – 2018) to submit to the AGM 2017.</p> <p>Approval of the policy - "Upgrading factory standard in accordance with the requirements of PIC/s-GMP - Phase 2" and other contents within the BOD's authorities.</p>
5.	005/2018/NQ.HĐQT	12 Mar 2018	<p>Approval of the documents submitted to the AGM 2017.</p> <p>Approval of the list of commercial banking systems in 2018.</p>
6.	006/2018/NQ.HĐQT	28 Mar 2018	<p>Approval of the consulting report prepared by DHG Pharma's capital representatives at DHG PP1 and DHG Pharma Ltd.;</p> <p>Report prepared by the person in charge of the Company's corporate governance in terms of the Analyst meeting Q1/2018.</p> <p>Implementing the resolution of the 2017 AGM on 28 Mar 2018.</p>
7.	007/2018/NQ.HĐQT	10 May 2018	<p>Approval of the BOD Secretary's report; The BOM's report; Report of the Strategic Subcommittee; Report of the Nomination and Remuneration Subcommittee; Report of the Audit and Risk Management Subcommittee Q1/2018 and plans of Q2/2018.</p> <p>Appointing Mr. Nguyen Hoang Nam to hold the position as Production Director. Suspending the conversion from DHG Pharma's distribution branches in the Mekong region to representative offices. Establishing 3 branches (Regional central warehouses) in Cantho, HCMC and Hanoi.</p> <p>Approving the contents related to the 2017 AGM of Song Hau Pharmaceutical JSC (SH Pharma) and Vinh Hao Algae Processing Joint Stock Company (SPIVIHA).</p>

No.	Resolution No.	Date	Content of Resolutions
8.	008/2018/NQ.HĐQT	15 June 2018	Amendment on the Company's business lines in accordance with the AGM's resolution. Came into an agreement with the BOS on selecting Deloitte Vietnam Company Limited to be the independent auditor for financial statements in 2018 of DHG Pharma and its subsidiaries.
9.	009/2018/NQ.HĐQT	28 June 2018	Approving the additional details of the Company's business lines in order to implement the Foreign Ownership Limit (FOL) policy in accordance with the AGM's resolution.
10.	010/2018/NQ.HĐQT	09 July 2018	Approving the public offer of DHG Pharma shares of Taisho Pharmaceutical Co.,Ltd.
11.	011/2018/NQ.HĐQT	14 July 2018	Approval of the advance dividend phase 1/2018 of DHG Pharma.
12.	012/2018/NQ.HĐQT	25 July 2018	Approving the BOD Secretary's report; The BOM's report; Report of the Strategic Subcommittee; Report of the Nomination and Remuneration Subcommittee; Report of the Audit and Risk Management Subcommittee Q2/2018 and plans of Q3/2018. Report prepared by the person in charge of the Company's corporate governance in terms of corporate governance in the first 6 months of 2018. Approving the dissolution of DHG Pharma's Branch – Artichoke Paste Boiling/Procurement/Purchasing Establishment.
13.	013/2018/NQ.HĐQT	30 Aug 2018	Approval of the renovation project to improve warehouse capacity.
14.	014/2018/NQ.HĐQT	28 Sept 2018	Approving investment in chiller systems and other contents under the BOD's authorities.
15.	015/2018/NQ.HĐQT	11 Oct 2018	Approving the procurement of machines for factories.
16.	016/2018/NQ.HĐQT	25 Oct 2018	Approval of the BOD Secretary's report; The BOM's report; Report of the Strategic Subcommittee; Report of the Nomination and Remuneration Subcommittee Q3/2018 and plans of Q4/2018; Dismissal of Mrs. Ha My Dung as Quality Director and other contents under the BOD's authorities.
17.	017/2018/NQ.HĐQT	26 Nov 2018	Approving the dissolution of 11 representative offices of DHG Pharma and other contents under the BOD's authorities.
18.	018/2018/NQ.HĐQT	24 Dec 2018	Extension of appointment from 01 Jan 2019 until the end of the third term of office (2014 - 2018) of DHG Pharma's BOD for: + Mr. Doan Dinh Duy Khuong – Position: Legal Representative, Acting General Director. + Mr. Atsushi Toyoshima – Position: Supply Chain Director. Appointment of Mrs. Nguyen Ngoc Diep - Deputy General Director in charge of Production and Supply Chain to concurrently hold the position of Quality Director since 01 Jan 2019.

No.	Resolution No.	Date	Content of Resolutions
			Approval of the budget limit and credit limit in 2019 and other related contents.
19.	019/2018/NQ.HĐQT	27 Dec 2018	Report on estimated business performance in 2018. Discussing business plans for 2019 and several strategic orientations.

III. The Board of Supervisory (in 2018):

1. Information about members of the Board of Supervisory

No.	BOS members	Position	Starting date to be a BOS Member	Meeting attendance	Rate	Reason for absence
1.	Mr. Tran Quoc Hung	Head of the BOS	08 Sept 2004	4/4	100%	
2.	Mrs. Nguyen Phuong Thao	Supervisor	26 Apr 2012	3/4	75%	Submitted resignation letter from 25 Oct 2018
3.	Mr. Tran Trung Kien	Supervisor	28 Mar 2018	3/4	75%	Additional Appointment from 28 Mar 2018

2. Supervising activities of the Board of Supervisory over the Board of Directors, the Executive Board, and shareholders

- The BOS attended all of the BOD meetings and contributed opinions in accordance with the BOS's functions and duties.
- Periodically, the BOS submitted reports and recommendations in writing to the BOD and BOM. All these recommendations of the BOS are recognized and implemented.

3. The coordination among the Board of Supervisory with the Board of Directors, the Executive Board and other managers

- The BOD and BOM created favorable conditions for the BOS by providing sufficient information on the Company's production and business situation as well as financial situation.
- The BOS, BOD, and BOM worked closely to promptly solve the obstacles in the inspection and control process of the BOS.

4. Other activities of the Board of Supervisory (if any)

- The BOS reviewed and recommended independent auditors to the BOD and AGM.
- Coordinated with the independent auditor to evaluate the reviewed Financial Statements for the first 6 months of 2018.
- Evaluated the independent auditor's management letter for the first 6 months of 2018.
- Collaborated with the Internal Control Department to survey and evaluate the implementation of inventory management process at the Company's departments/branches.
- Inspected and evaluated compliance at the Company's branches.

IV. Corporate Governance Training:

Members of the BOD, BOS, BOM and BOD Secretary participated in corporate governance training courses in previous years. Some members are waiting for training courses meeting their time and location.

V. List of related persons of the Company in accordance with Clause 34, Article 6 of the Law on Securities and transactions between the Company's related persons with the Company itself (Report in 2018)

1. List of related persons of the Company

Annex I: List of related persons of the Company has been enclosed.

2. Transactions between the Company and its related persons; or between the Company with major shareholders, internal persons, related persons of internal persons: Nil

3. Transactions between the Company's internal persons, related persons of internal persons with subsidiaries and the company controlled by DHG Pharma: Nil

4. Transactions between the Company and other subjects:

4.1. Transactions between the Company and the company in which the BOD members, BOS members, Executive Director (General Director) were or have been founding members or BOD members, Executive Director (General Director) in recent three (03) years (calculated from the time of making the report): Nil (*excluding transactions between DHG Pharma and DHG Pharma's subsidiaries*)

4.2. Transactions between the Company and the company in which a related person of the BOD member, BOS member, Executive Director (General Director) is a member of the BOD, Executive Director (General Director): Nil

4.3. Other transactions of the Company (if any) can bring material or non-material benefits to BOD members, BOS members, Executive Director (General Director): Nil

VI. Transactions between internal shareholders and related persons (In 2018):

1. List of internal persons and their related persons:

Annex II: List of internal persons and their related persons has been enclosed.

2. Transactions of internal persons and related persons on the Company's shares:

Annex III: Transactions of internal persons and related persons on the Company's shares has been enclosed.

VII. Other significant issues: Nil.

Recipients:

As above;
Archived: AT, FN

**CHAIRMAN OF
THE BOARD OF DIRECTORS**

NGUYEN CHI THANH

[Notice: This convocation notice is a translation of the vietnamese language original for convenience purpose only, and in the event of any discrepancy, the vietnamese language original shall prevail.]

ANNEX I: LIST OF RELATED PERSONS OF THE COMPANY

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Time of starting to be a related person	Time of ending to be related person	Reasons
I. Related persons of the Company										
1	Nguyen Chi Thanh		BOD Chairman					26 Oct 2017		
2	Pham Thi Viet Nga		BOD member					08 Sep 2004		
3	Doan Dinh Duy Khuong		BOD member Acting General Director					29 Apr 2010		
4	Dang Thi Thu Ha		BOD member					28 July 2017		
5	Tran Chi Liem		BOD member					28 Apr 2014		
6	Jun Kuroda		BOD member					15 Aug 2016		
7	Phan Minh Tien		BOD member					28 Mar 2018		
8	Le Chanh Dao		Deputy General Director					08 Sep 2004		
9	Nguyen Ngoc Diep		Deputy General Director cum Quality Director					01 May 2012		
10	Tomoyuki Kawata		Deputy General Director					25 Oct 2017		
11	Tran Quoc Hung		Head of the BOS					08 Sep 2004		
12	Nguyen Phuong Thao		Supervisor					26 Apr 2012	Submitted resignation letter on 25 Oct 2018	
13	Tran Trung Kien		Supervisor					28 Mar 2018		
14	Le Thi Hong Nhung		Finance Director – BOD's Secretary - Authorized person to disclose information - The person in charge of Corporate Governance					29 Oct 2010		
15	Lam Dieu Phi		Marketing Director					01 May 2016		
16	Nguyen Hoang Nam		Production Director					10 May 2018		
17	Huynh Thi Kim Tuoi		Distribution Center Director					01 Jan 2017		
18	Ha My Dung		Quality Director					01 Aug 2018	26 Oct 2018	
19	Vo Van Kim Y		Technical Director					01 Aug 2018		
20	Atsushi Toyoshima		Supply Chain Director					01 Jan 2018		
21	Ho Buu Huan		Chief Accountant					14 July 2016		
II. Related organizations										
1	Song Hau Pharmaceutical One Member Limited Company		Subsidiary (wholly-owned by DHG Pharma)					20 July 2007	30 Jun 2018	
2	DHG Printing and Packaging 1 One Member Limited Company		Subsidiary (wholly-owned by DHG Pharma)					28 Sep 2012	01 Apr 2018	
3	DHG Pharmaceutical One Member Limited Company		Subsidiary (wholly-owned by DHG Pharma)					16 Aug 2010	01 Apr 2018	
4	Fuji Medic Limited Liability Company		Subsidiary (51% owned by DHG Pharma)					27 July 2016		

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ANNEX II: LIST OF INTERNAL PERSONS AND THEIR RELATED PERSONS

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
1	Nguyen Chi Thanh		BOD Chairman					22,650,495	17.32%	Individual ownership: 0 share; SCIC representative: 22,650,495 shares
Related individuals										
1.1	Nguyen Duy Dat		Father							
1.2	Bui Hong Phuong		Wife							
1.3	Nguyen Bui Minh Chau		Offspring							
1.4	Nguyen Bui Thien Minh		Offspring							
1.5	Nguyen Minh Trung		Sibling							
1.6	Nguyen Thi Bich Thuy		Sibling							
Related organizations										
1.1	States Capital Investment Corporation		Deputy General Director					56,626,237	43.31%	
1.2	Military Commercial Joint Stock Bank		BOD member							
1.3	Vietnam Dairy Products Joint Stock Company		BOD member							
2	Pham Thi Viet Nga		BOD member					191,737	0.15%	
Related individuals										
2.1	Bui Thi Tram		Mother							
2.2	Huynh Thanh Nguyen		Husband							
2.3	Pham Viet Thang		Sibling					4	0.00%	
2.4	Pham Viet Bac		Sibling							
2.5	Pham Viet Ha		Sibling							
2.6	Huynh Thi Quynh Anh		Offspring					14,551	0.01%	
2.7	Huynh Tuan Anh		Offspring							
Related organization										
2.1	Fuji Medic Limited Liability Company		Chairwoman of the Board of Members							
3	Doan Dinh Duy Khuong		BOD member, Acting General Director					17,014,988	13.01%	Individual ownership: 27,117 shares; SCIC representative: 16,987,871 shares
Related individuals										
3.1	Doan Vinh Quang		Father					-		
3.2	Nguyen Thi Ngoc Diep		Mother					-		
3.3	Ha Thi Anh Tuyet		Wife					-		
3.4	Doan Dinh Duy Nguyen		Sibling					1,780	0.00%	
3.5	Doan Dinh Duy Dong		Sibling					-		
3.6	Doan Dinh Tuan Huy		Sibling					3,129	0.00%	
3.7	Doan Ha Anh		Offspring					-		

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
3.8	Doan Van Anh		Offspring					-		
4	Dang Thi Thu Ha		BOD member					16,987,871	12.99%	Individual ownership: 0 share; SCIC representative: 16,987,871 shares
Related individuals										
4.1	Do Viet Dung		Husband							
4.2	Do Viet Thang		Offspring							
4.3	Do Khanh Giang		Offspring							
4.4	Dinh Thi Van		Mother							
4.5	Dang Hong Son		Sibling							
4.6	Dang Thi Thu Thuy		Sibling							
Related organizations										
4.1	Vietnam Dairy Products Joint Stock Company		BOD member							
4.2	Benovas Oncology Joint Stock Company		BOD member							
5	Tran Chi Liem		BOD member					0		
Related individuals										
5.1	Le Thi Xuan Mai		Wife							
5.2	Tran Viet Hong		Offspring							
5.3	Tran Thi Xuan Lan		Offspring							
5.4	Tran Thi Xuan Hoa		Offspring							
5.5	Tran Chi Dung		Sibling							
5.6	Tran Thi Viet Trieu		Sibling							
5.7	Tran Thi U		Sibling							
6	Jun Kuroda		BOD member					0		
Related individuals										
6.1	Akira Kuroda		Father							
6.2	Akiko Kuroda		Wife							
6.3	Namiko Kuroda		Offspring							
6.4	Shin Kuroda		Sibling							
Related organizations										
6.1	Taisho Pharmaceutical Co., Ltd		Member of the Board of Directors, Senior Executive Officer					32,606,096	24.94%	
6.2	Taisho Co., Ltd. Shanghai		Chairman of the Board							
6.3	Taisho Pharmaceutical California Inc.		President Director							
6.4	Taisho Pharmaceutical (M) Sdn Bhd. (Malaysia)		Director							
6.5	Taisho Pharmaceutical Asia (M) Sdn. Bhd.		Director							
6.6	Taisho Pharmaceutical Singapore Private Limited		Managing Director							

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
6.7	Osotspa Taisho Pharmaceutical Co., Ltd. (Thailand)		Director							
6.8	PT. Taisho Pharmaceutical Indonesia Tbk		President Director							
6.9	Taisho Vietnam Co., Ltd.		Director							
6.10	Hoepharm Holdings Sdn. Bhd. (Malaysia)		Director							
6.11	Compania Internacional de Comercio, S.A.P., I de C.V. (Mexico)		President Director							
7	Phan Minh Tien		BOD member					-		
Related individuals										
7.1	Phan Minh Dong		Father							
7.2	Le Thi Cuc		Mother							
7.3	Nguyen Thi Viet Thanh		Wife							
7.4	Phan Le Thanh Hoa		Sibling							
7.5	Phan Le Thanh Mai		Sibling							
7.6	Phan Le Nhi Mai		Sibling							
7.7	Phan Le Tam Mai		Sibling							
7.8	Phan Minh Thien		Sibling							
7.9	Phan Nguyen Mai Khoi		Offspring							
7.10	Phan Nguyen An Khoi		Offspring							
7.11	Phan Minh Khoi		Offspring							
8	Le Chanh Dao		Deputy General Director					122,337	0.09%	
Related individuals										
8.1	Huynh Thi Xinh		Mother					0		
8.2	Phung Kim Phuong		Wife					768	0.00%	
8.3	Le Duc Thang		Sibling					0		
8.4	Le Van Huu		Sibling					0		
8.5	Le Thi Hien Luong		Sibling					0		
8.6	Le Thi Luong Hao		Sibling					0		
8.7	Le Kien Quoc		Sibling					0		
8.8	Le Khuong Ninh		Sibling					0		
8.9	Le Thi My Luong		Sibling					0		
8.10	Le Trung Luong		Sibling					0		
8.11	Le Phung Phuong Anh		Offspring					0		
8.12	Le Phung Duy Anh		Offspring					0		
9	Nguyen Ngoc Diep		Deputy General Director cum Quality Director					7,368	0.01%	
Related individuals										
9.1	Duong Thi Kim Tuyen		Mother					0		
9.2	Nguyen Kim Chi		Sibling					0		
9.3	Nguyen Ngoc Buu		Sibling					0		

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
9.4	Nguyen Van Thuan		Husband					0		
9.5	Nguyen Hoang Khang		Offspring					0		
9.6	Nguyen Ngoc Kim Khanh		Offspring					0		
10	Tomoyuki Kawata		Deputy General Director					0		
Related individuals										
10.1	Yukiko Kawata		Wife					0		
10.2	Risa Kawata		Offspring					0		
10.3	Miki Kawata		Offspring					0		
10.4	Yuri Kawata		Offspring					0		
11	Tran Quoc Hung		Head of the BOS					18,679	0.01%	
Related individuals										
11.1	Nguyen Hong Nhung		Wife					7	0.00%	
11.2	Tran Quoc Dung		Offspring							
11.3	Tran Thi Thuy Dung		Offspring							
11.4	Pham Thi Cap		Mother							
11.5	Tran Quoc Chau		Sibling							
11.6	Tran Thi Thu Huong		Sibling							
11.7	Tran Quoc Hung		Sibling							
11.8	Tran Thi Thu Hanh		Sibling							
11.9	Tran Thi Tuyet Hoa		Sibling							
12	Nguyen Phuong Thao		Supervisor					-	-	
Related individuals										
12.1	Nguyen Ninh Thuc		Father							
12.2	Tran Thu Thuy		Mother							
12.3	Nguyen Quynh Trang		Sibling							
12.4	Phan Hoang Giang		Husband							
12.5	Nguyen Duc Anh		Offspring							
12.6	Phan Dang Nhat Minh		Offspring							
13	Tran Trung Kien		Supervisor					-		
Related individuals										
13.1	Tran Duy Manh		Father							
13.2	Do Thi Van		Mother							
13.3	Tran My Hanh		Sibling							
13.4	Bui Thi Kim Thu		Wife							
13.5	Tran Thao Phuong		Offspring							
13.6	Tran Lam		Offspring							
13.7	Tran Huyen My		Offspring							

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
14	Le Thi Hong Nhung		Finance Director – BOD's Secretary - Authorized person to disclose information – The person in charge of Corporate Governance					6	0.00%	
Related individuals										
14.1	Le Anh Tuan		Father						0.00%	
14.2	Tran Thi Theu		Mother						0.00%	
14.3	Le Hoang Nam		Husband					123	0.00%	
14.4	Le Thi Hong Gam		Sibling						0.00%	
14.5	Le Thi Hong Voc		Sibling						0.00%	
14.6	Le Thi Thanh Bac		Sibling						0.00%	
14.7	Le Thu Hien		Sibling						0.00%	
14.8	Le Nguyen Hung		Offspring						0.00%	
14.9	Le Nhat Vuong		Offspring						0.00%	
15	Lam Dieu Phi		Marketing Director					3,924	0.00%	
Related individuals										
15.1	Lam Van Men		Father					0		
15.2	To Thuc Khen		Mother					0		
15.3	Le Thi Linh Chi		Wife					0		
15.4	Lam Phuc Nguyen		Offspring					0		
15.5	Lam Khoi Nguyen		Offspring					0		
15.6	Lam Ngoc Chi		Sibling					0		
15.7	Lam Ngoc Huong		Sibling					0		
15.8	Lam Ngoc Nhi		Sibling					0		
15.9	Lam Dieu Quoc		Sibling					0		
Related organization										
15.1	Vinh Hao Algae Processing Joint Stock Company		BOD Chairman							
16	Nguyen Hoang Nam		Production Director					16,489	0.01%	
Related individuals										
16.1	Nguyen Van Tam		Father							
16.2	Tran Thi Anh Hoa		Mother							
16.3	Ong Thanh Kieu		Wife					993	0.00%	
16.4	Nguyen Quoc Viet		Sibling							
16.5	Nguyen Thanh Hai		Sibling							
16.6	Nguyen Thanh Thuy		Sibling							
16.7	Nguyen Thanh Binh		Sibling							
16.8	Nguyen Thanh Dien		Sibling							
16.9	Nguyen Hoang Tho		Offspring							
16.10	Nguyen Hoang Thao		Offspring							

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
17	Huynh Thi Kim Tui		Distribution Center Director					448	0.00%	
Related individuals										
17.1	Nguyen Xuan Huan		Husband					3,552	0.00%	
17.2	Nguyen Xuan Huy		Offspring							
17.3	Nguyen Huynh Nhat Huyen		Offspring							
17.4	Huynh Thi Cam Hong		Sibling							
17.5	Huynh Thi Cam Thu		Sibling							
17.6	Huynh Hoa Dan		Sibling							
17.7	Huynh Thi Kim Viet		Sibling							
17.8	Huynh Thi Kim Sang		Sibling					15	0.00%	
17.9	Huynh Thi Kim Thanh		Sibling					15	0.00%	
17.10	Huynh Thi Ut		Sibling							
18	Vo Van Kim Y		Technical Director					11,918	0.01%	
Related individuals										
18.1	Cao Thi Minh Tuyet		Mother					-		
18.2	Vo Ton Nguyen		Sibling					-		
18.3	Vo Thi Hien		Sibling					-		
18.4	Vo Thi Kim Hien		Sibling					-		
18.5	Vo Kim Xuong		Sibling					-		
18.6	Vo Thi Lien		Sibling					-		
18.7	Vo Van Kim Bang		Sibling					-		
18.8	Vo Van Kim Van		Sibling					-		
19	Atsushi Toyoshima		Supply Chain Director					-		
Related individuals										
19.1	Tran Thi Kim Ngoc		Wife							
19.2	Fumihiko Toyoshima		Offspring							
19.3	Namihiko Toyoshima		Offspring							
20	Ho Buu Huan		Chief Accountant					9	0.00%	
Related individuals										
20.1	Ho Cong Nghe		Father							
20.2	Nguyen Thi Nghiep		Mother							
20.3	Le Thi Kim Lai		Wife							
20.4	Ho Le Kha Han		Offspring							
20.5	Ho Le Khoi Nguyen		Offspring							
20.6	Ho Buu Ky		Sibling							
20.7	Ho Mong Nuong		Sibling							
20.8	Ho Mong Kinh		Sibling							
20.9	Ho Mong Trac		Sibling							

(*) Number of shares owned is in accordance with list of shareholders on 31 July 2018.

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ANNEX III: TRANSACTIONS OF INTERNAL PERSONS AND RELATED PERSONS ON THE COMPANY'S SHARES

No.	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increase, decrease (purchase, sale, switch, reward...)
			Number of shares	Percentage	Number of shares	Percentage	
Internal persons							
1	Pham Thi Viet Nga	BOD member	302,737	0.23%	200,567	0.15%	Sold -111,000 shares. Received +8,830 shares from the share distribution program in 2018
2	Doan Dinh Duy Khuong	Acting General Director	27,117	0.02%	36,237	0.03%	Received +9,120 shares from the share distribution program in 2018
3	Le Chanh Dao	Deputy General Director	122,337	0.09%	81,967	0.06%	Sold - 43,460 shares. Received +3,090 shares from the share distribution program in 2018
4	Nguyen Ngoc Diep	Deputy General Director	7,368	0.01%	10,548	0.01%	Received +3,180 shares from the share distribution program in 2018
5	Lam Dieu Phi	Marketing Director	3,924	0.00%	9,744	0.01%	Received +5,820 shares from the share distribution program in 2018
6	Le Thi Hong Nhung	Finance Director cum Head of Finance Department	6	0.00%	7,426	0.01%	Received +7,420 shares from the share distribution program in 2018
7	Ho Buu Huan	Chief Accountant	9	0.00%	929	0.00%	Received +920 shares from the share distribution program in 2018
8	Ha My Dung	Quality Director	16,008	0.01%	11,638	0.01%	Sold -5,790 shares. Received +1,420 shares from the share distribution program in 2018
9	Nguyen Hoang Nam	Production Director	16,489	0.01%	12,179	0.01%	Sold -5,970 shares. Received +1,660 shares from the share distribution program in 2018
10	Huynh Thi Kim Tuoi	Distribution Director	20,878	0.02%	1,868	0.00%	Sold -20,430 shares. Received +1,420 shares from the share distribution program in 2018
11	Vo Van Kim Y	Technical Director	10,003	0.01%	4,843	0.00%	Sold -6,820 shares. Received +1,660 shares from ownership transfer
Related parties							
1	Trade Union of DHG Pharma	Subordinate unit of DHG Pharma	533,097	0.41%	534,327	0.41%	Purchased +140,000 shares. Transfer ownership of -138,770 shares for the share distribution program in 2018
2	Pham Viet Thang	Related person of Mdm. Pham Thi Viet Nga	4	0.00%	874	0.00%	Received +870 shares from the share distribution program in 2018
3	Doan Dinh Tuan Huy	Related person of Mr. Doan Dinh Duy Khuong	3,129	0.00%	3,789	0.00%	Received +660 shares from the share distribution program in 2018
4	Ong Thanh Kieu	Related person of Mr. Nguyen Hoang Nam	993	0.00%	1,843	0.00%	Received +850 shares from the share distribution program in 2018
5	Nguyen Trung Nghia	Related person of Mrs. Ha My Dung	18	0.00%	888	0.00%	Received +870 shares from the share distribution program in 2018
6	Huynh Thi Quynh Anh	Related person of Mdm. Pham Thi Viet Nga	14,551	0.01%	9,281	0.01%	Sold -5,270 shares
7	Taisho Pharmaceutical Co., LTD.	Related organization of Mr. Jun Kuroda – BOD member	31,956,096	24.44%	44,835,886	34.29%	Purchased +12,879,790 shares

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