

**REPORT ON CORPORATE GOVERNANCE  
OF DHG PHARMACEUTICAL JOINT STOCK COMPANY  
(6 months/2017)**

**Kind Attn to:       - The State Securities Commission  
                              - The Ho Chi Minh City Stock Exchange**

- Company's name: DHG Pharmaceutical Joint Stock Company
- Address of head office: 288 Bis Nguyen Van Cu Str., An Hoa Ward, Ninh Kieu Dist., Can Tho City
- Tel: 02923 891433   Fax: 02923 895209
- Email: dhgpharma@dhgpharma.com.vn
- Charter capital: VND **1,307,460,710,000**
- Stock ticker: DHG

**I. Activities of the General Meeting:**

| No. | Resolutions       | Date        | Contents  |
|-----|-------------------|-------------|---|
| 1.  | 001/2017/NQ.ĐHĐCĐ | 18 Apr 2017 | <p>The 2016 Annual General Meeting (AGM) approved the following contents:</p> <ul style="list-style-type: none"> <li>- Report of the Board of Directors (BOD) on the Company's business result in 2016; Operation and remuneration of the BOD in 2016; Investment plan in 2017 and business target in 2017.</li> <li>- Report of the Board of Supervisory (BOS) in 2016.</li> <li>- Consolidated audited financial statements in 2016.</li> <li>- Profit distribution statement of 2016 and profit distribution plan of 2017.</li> <li>- Statement for selecting an independent audit firm to make the 2017 financial statements.</li> <li>- Approving the redemption plan of treasury shares and favorable sales of shares for employees.</li> <li>- Approving the plan to issue shares to increase capital from owner's equity. Issued rate 2:1.</li> </ul> |

|  |  |  |   |
|--|--|--|---|
|  |  |  | <ul style="list-style-type: none"> <li>- Approving the resignation of BOD members in term III (2014-2018) of Mrs. Dang Pham Minh Loan since 20 Jul 2016 and Mr. Shuhei Tabata since 24 Mar 2017.</li> <li>- Approving the additional election of Mr. Jun Kuroda as a member of the Board of Directors in term III (2014-2018).</li> <li>- Approval of the policy to open foreign ownership limit up to over 49% (FOL).</li> </ul> |
|--|--|--|---|

## II. The BOD (6 months/2017):

### 1. Information about members of the BOD

| No. | BOD member               | Positions                          | Starting date to be a BOD member | Meeting attendance | Rate  | Reason for absence        |
|-----|--------------------------|------------------------------------|----------------------------------|--------------------|-------|---------------------------|
| 1.  | Mr. Hoang Nguyen Hoc     | BOD Chairman                       | 28 Apr 2014                      | 8/8                | 100%  |                           |
| 2.  | Mr. Le Dinh Buu Tri      | BOD Vice Chairman                  | 25 Apr 2009                      | 7/8                | 87.5% | Absence: Authorized       |
| 3.  | Mdm. Pham Thi Viet Nga   | BOD Member General Director        | 08 Sep 2004                      | 8/8                | 100%  |                           |
| 4.  | Mr. Doan Dinh Duy Khuong | BOD Member Deputy General Director | 29 Apr 2010                      | 8/8                | 100%  |                           |
| 5.  | Mr. Tran Chi Liem        | Independent BOD Member             | 28 Apr 2014                      | 8/8                | 100%  |                           |
| 1.  | Mr. Shuhei Tabata        | Independent BOD Member             | 28 Apr 2014                      | 2/8                | 25%   | Resigned from 24 Mar 2017 |
| 2.  | Mr. Jun Kuroda           | BOD Member                         | 15 Aug 2016                      | 8/8                | 100%  |                           |

### 2. Supervising activities of the BOD over the Board of Management (BOM):

Supervising and support activities of the BOD over the BOM include:

- Supervising the implementation of Resolutions of the General Meeting, Minutes and Resolutions of the BOD;
- Evaluating and making suggestions on activities in management in terms of: investment, finance, market, sales, human resources, production, and quality.

### 3. Activities of subcommittees under the BOD

- Strategic Subcommittee: Develop a business plan for 2017 and periodically review the implementation of the five-year strategy (2016-2020).
- Audit and Risk Management Subcommittee: To advise the BOD on personnel for the Internal Audit Committee; Make audit report and risk management every quarter.
- Nomination and Remuneration Subcommittee: Build the training programs in 2017; Propose and advise the BOD on human resources development, senior cadres; Make quarterly HR report.

#### 4. Resolutions of the BOD (6 months/2017)

In 6 months of 2017, the BOD conducted 04 direct meetings and 04 meetings in the form of absentee voting to resolve issues under the BOD's functions and authorities.

| No. | Resolution No.   | Date        | Content of Resolutions   |
|-----|------------------|-------------|--|
| 1.  | 001/2017/NQ.HĐQT | 19 Jan 2017 | <p>Approving Report of the BOD's Secretary; Report on Remuneration, Remuneration and bonus fund of the BOD, BOS; Report of the BOM; Investment report; Report on Science and Technology Development Fund; Subcommittee reports 2016 and plan in 2017</p> <p>Approving the contents for preparation of the 2016 AGM.</p> <p>And the personal decision of Chief Accountant and Subcommittees under the BOD.</p>  |
| 2.  | 002/2017/NQ.HĐQT | 24 Mar 2017 | <p>Approving business target and consolidated budget, investment plan and disbursement in 2017.</p> <p>Approving the resignation as an independent BOD member – Mr. Shuhei Tabata from 24 Mar 2017.</p> <p>Approving the budget limit and credit limit in 2017.</p> <p>Approving the notice to convene the General Meeting of Shareholders and contents to send invitations to shareholders.</p> <p>And the personal decision of Internal Audit Committee and Fuji Medic subsidiary.</p>                         |
| 3.  | 003/2017/NQ.HĐQT | 03 Apr 2017 | <p>Approving the contents and assignment to report at the 2016 AGM.</p>  |
| 4.  | 004/2017/NQ.HĐQT | 17 Apr 2017 | <p>Approval of the Report of the BOD's Secretary; Report of the BOD; Report of the Subcommittees in Q1/207 and plan in Q2/2017, Approval of profit distribution in 2016 and profit distribution plan for 2017 of subsidiaries 100% owned by DHG Pharma.</p> <p>Approving the replacement of statements and amendment of the draft Resolution of the 2016 AGM.</p> <p>Approving the extension of time for the position of General Director to 31 Aug 2017.</p> <p>Other contents under the BOD's authorities.</p> |

| No. | Resolution No.   | Date        | Content of Resolutions  |
|-----|------------------|-------------|---|
| 5.  | 005/2017/NQ.HĐQT | 18 Apr 2017 | Implementing resolution of the 2016 AGM dated 18 Apr 2017   |
| 6.  | 006/2017/NQ.HĐQT | 03 May 2017 | Reaching a consensus on the selection of PwC as an independent auditor for financial statements in 2017.<br>Personnel decision of Finance Director and other contents within the BOD's authorities.   |
| 7.  | 007/2017/NQ.HĐQT | 13 May 2017 | Approving the extension of time for the position of Deputy General Directors to 31 Aug 2017.  |
| 8.  | 008/2017/NQ.HĐQT | 17 Jun 2017 | Approval of organization of the General Meeting of Shareholders in the form of absentee voting in 2017.<br>Changing policy from the dissolution of TOT Pharmaceutical One Member Limited Company (TOT Pharma) to transfer the whole charter capital of TOT Pharma.<br>Approval of an increase in ownership ratio of DHG Pharma in SH Pharmaceutical Joint Stock Company (SH Pharma) from 51% to 100%.<br>Approving the contents related to the 2016 AGM of SH Pharma and Vinh Hao Algae Processing Joint Stock Company (SPIVIHA). |

### III. The BOS (6 months/2017):

#### 1. Information about members of the BOS

| No. | BOS's member            | Positions       | Starting date to be a BOS's member | Meeting attendance | Rate | Reason for absence |
|-----|-------------------------|-----------------|------------------------------------|--------------------|------|--------------------|
| 1.  | Mr. Tran Quoc Hung      | Head of the BOS | 08 Sep 2004                        | 2/2                | 100% |                    |
| 2.  | Mrs. Nguyen Phuong Thao | BOS member      | 26 Apr 2012                        | 2/2                | 100% |                    |
| 3.  | Mr. Dinh Duc Minh       | BOS member      | 28 Apr 2014                        | 2/2                | 100% |                    |

#### 2. Supervising activities of the BOS over the BOD, the BOM, and shareholders

- The BOS attended all meetings of the BOD, contributed ideas according to the functions and duties of the BOS.
- Periodically, the BOS sent the written reports and recommendations to the BOD and BOM. All these recommendations of the BOS are recognized and implemented.
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### 3. Coordination between the BOS on the activities of the BOD, the executive BOM, and other managers

- In the 6 months of 2017, the BOS was facilitated by the BOD and BOM to provide sufficient information on the company's business and financial status.
- The BOS, BOD, and BOM closely cooperate to timely solve the obstacles in the inspection and control process of the BOS.

### 4. Other activities of the BOS (if any)

- The BOS reviews and recommends an independent audit firm to the AGM for approval.

## IV. Corporate Governance Training:

Members of the BOD, members of the BOS, BOM and the BOD's Secretary, participated in corporate governance training courses as regulated. There are also some members who are waiting for the training courses to suit the time and place of the members.

## V. List of related persons of the Company in accordance with Clause 34, Article 6 of the Law on Securities and transactions between the Company's related persons with the Company itself (Report of 6 months/2017)

### 1. List of related persons of the Company

*Annex I attached*

### 2. Transactions between the Company and its related persons; Or between the company with major shareholders, insiders, related persons of insiders: Nil

### 3. Transactions between the Company's insiders, related persons of insiders with subsidiaries and the company controlled by DHG Pharma: Nil

### 4. Transactions between the Company and other subjects:

4.1. Transactions between the Company and the company in which the BOD members, BOS members, General Director were or have been founding members or BOD members, Executive General Director in recent three (03) years (calculated from the time of making the report):

| Fiscal period ended |            |
|---------------------|------------|
| 30.06.2017          | 30.06.2016 |
| VND                 | VND        |

Revenue from sales of goods and services between the parent company and subsidiaries where BOD member is a member of the BOD, the Executive Director of the Subsidiary

#### SH Pharmaceutical Joint Stock Company (SH Pharma)

|  |                |                |
|--|----------------|----------------|
| Mr. Doan Dinh Duy Khuong – BOD member, Deputy General Director of DHG Pharma and BOD Chairman of SH Pharma | 36,348,492,405 | 33,911,683,252 |
|--|----------------|----------------|

#### DHG Pharmaceutical One Member Limited Company

|   |                 |                 |
|---|-----------------|-----------------|
| Mdm. Pham Thi Viet Nga – BOD member, General Director of DHG Pharma and Chairwoman of the Board of Members of DHG Pharmaceutical One Member Limited Company | 337,391,230,795 | 169,855,676,724 |
|---|-----------------|-----------------|

Fiscal period ended  
 30.06.2017                      30.06.2016  
 VND                                      VND

**Purchase goods and services**

**DHG Pharmaceutical One Member Limited Company**

Mdm. Pham Thi Viet Nga – BOD member, General Director of DHG Pharma and Chairwoman of the Board of Members of DHG Pharmaceutical One Member Limited Company

**870,476,877,428      464,561,501,313**

4.2. Transactions between the company and the company in which a related person of the BOD member, BOS member, Executive Director (General Director) is a member of the BOD, Executive Director (General Director): Nil

4.3. Other transactions of the company (if any) can bring material or non-material benefits to BOD members, BOS members, Executive Director (General Director): Nil

**VI. Transactions between internal shareholders and related persons (6 months/2017):**

**1. List of insiders and related persons of insiders:**

Annex II attached

**2. Transactions between insiders and related persons to the company's shares:**

| No. | Transaction performer                        | Relationship with insiders  | Number of shares at the beginning of the period |        | Number of shares owned at the end of the period |        | Reason for increase/decrease (buy, sell, convert, reward...)               |
|-----|--|---|---|--------|---|--------|--|
|     |  |   | Number of shares                                | Ratio  | Number of shares                                | Ratio  |  |
| 1.  | States Capital Investment Corporation (SCIC) | Relevant organizations of Hoang Nguyen Hoc, Le Dinh Buu Tri, Doan Dinh Duy Khuong | 37,750,825                                      | 43.31% | 56,626,237                                      | 43.31% | Issuance of shares to increase share capital from equity. Issued rate 2: 1 |
| 2.  | Pham Thi Viet Nga                            | BOD member, General Director  | 201,825   | 0.23%  | 302,737   | 0.23%  |  |
| 3.  | Doan Dinh Duy Khuong                         | BOD member, Deputy General Director   | 18,078  | 0.02%  | 27,117  | 0.02%  |  |
| 4.  | Pham Viet Thang                              | Younger brother of Mdm. Pham Thi Viet Nga   | 3   | 0.00%  | 4   | 0.00%  |  |
| 5.  | Huynh Thi Quynh Anh                          | Daughter of Mdm. Pham Thi Viet Nga  | 9,701   | 0.01%  | 14,551  | 0.01%  |  |
| 6.  | Doan Dinh Duy Nguyen                         | Older brother of Mr. Doan Dinh Duy Khuong   | 520   | 0.00%  | 780   | 0.00%  |  |

|     |                                |  |            |        |            |        |  |
|-----|--------------------------------|--|------------|--------|------------|--------|--|
| 7.  | Doan Dinh Tuan Huy             | Younger brother of Mr. Doan Dinh Duy Khuong      | 2,086      | 0.00%  | 3,129      | 0.00%  | Issuance of shares to increase share capital from equity. Issued rate 2: 1 |
| 8.  | Taisho Pharmaceutical Co., Ltd | Relevant organization of Mr. Jun Kuroda          | 21,304,064 | 24.44% | 31,956,096 | 24.44% |  |
| 9.  | Le Chanh Dao                   | Deputy General Director, Finance Director        | 81,558     | 0.09%  | 122,337    | 0.09%  |  |
| 10. | Phung Kim Phuong               | Wife of Mr. Le Chanh Dao                         | 512        | 0.00%  | 768        | 0.00%  |  |
| 11. | Nguyen Ngoc Diep               | Deputy General Director                          | 4,912      | 0.01%  | 7,368      | 0.01%  |  |
| 12. | Tran Quoc Hung                 | Head of the BOS                                  | 12,453     | 0.01%  | 18,679     | 0.01%  |  |
| 13. | Nguyen Hong Nhung              | Wife of Mr. Tran Quoc Hung                       | 5          | 0.00%  | 7          | 0.00%  |  |
| 14. | Dinh Duc Minh                  | BOS member                                       | 13         | 0.00%  | 19         | 0.00%  |  |
| 15. | Lam Dieu Phi                   | Marketing Director                               | 2,616      | 0.00%  | 3,924      | 0.00%  |  |
| 16. | Le Thi Hong Nhung              | Finance Director – BOD's Secretary               | 4          | 0.00%  | 6          | 0.00%  |  |
| 17. | Le Hoang Nam                   | Husband of Mrs. Le Thi Hong Nhung                | 82         | 0.00%  | 123        | 0.00%  |  |
| 18. | Ho Buu Huan                    | Chief Accountant                                 | 6          | 0.00%  | 9          | 0.00%  |  |
| 19. | Huynh Thi Kim Tui              | Distribution Center Director                     | 13,919     | 0.02%  | 20,878     | 0.02%  |  |
| 20. | Nguyen Xuan Huan               | Husband of Mrs. Huynh Thi Kim Tui                | 2,368      | 0.00%  | 3,552      | 0.00%  |  |
| 21. | Huynh Thi Kim Sang             | Older sister of Mrs. Huynh Thi Kim Tui           | 10         | 0.00%  | 15         | 0.00%  |  |
| 22. | Huynh Thi Kim Thanh            | Younger sister/brother of Mrs. Huynh Thi Kim Tui | 10         | 0.00%  | 15         | 0.00%  |  |

**VII. Other issues to bear in mind: Nil.**

**Recipients:**

As above;  
Archived: AT, FN

**CHAIRMAN OF  
THE BOARD OF DIRECTORS**

**Hoang Nguyen Hoc**

## ANNEX I: LIST OF RELATED PERSONS OF THE COMPANY

| No.                                      | Name of person/organization                             | Securities trading account (if any) | Position at the company (if any)  | ID no./ Business registration no. | Issued date of ID/ Business registration | Issued place of ID/ Business registration           | Address | Starting time to be a related person | Time stop being a related person | Reason |
|--|---|-------------------------------------|---|-----------------------------------|--|---|---------|--------------------------------------|----------------------------------|--------|
| <b>I. Related persons of the company</b> |   |                                     |   |                                   |  |   |         |                                      |                                  |        |
| 1  | Hoang Nguyen Hoc  |                                     | BOD Chairman  |                                   |  |   |         | 28 Apr 2014                          |                                  |        |
| 2  | Le Dinh Bui Tri   |                                     | BOD Vice Chairman   |                                   |  |   |         | 25 Apr 2009                          |                                  |        |
| 3  | Pham Thi Viet Nga                                       |                                     | BOD member<br>General Director  |                                   |  |   |         | 08 Sep 2004                          |                                  |        |
| 4  | Doan Dinh Duy Khuong                                    |                                     | BOD member<br>Deputy General Director   |                                   |  |   |         | 29 Apr 2010                          |                                  |        |
| 5  | Tran Chi Liem   |                                     | BOD member  |                                   |  |   |         | 28 Apr 2014                          |                                  |        |
| 6  | Shuhei Tabata   |                                     | BOD member  |                                   |  |   |         | 28 Apr 2014                          |                                  |        |
| 7  | Jun Kuroda  |                                     | BOD member  |                                   |  |   |         | 15 Aug 2016                          |                                  |        |
| 8  | Le Chanh Dao  |                                     | Deputy General Director   |                                   |  |   |         | 08 Sep 2004                          |                                  |        |
| 9  | Nguyen Ngoc Diep  |                                     | Deputy General Director   |                                   |  |   |         | 01 May 2012                          |                                  |        |
| 10                                       | Tran Quoc Hung  |                                     | Head of the BOS   |                                   |  |   |         | 08 Sep 2004                          |                                  |        |
| 11                                       | Nguyen Phuong Thao                                      |                                     | BOS member  |                                   |  |   |         | 26 Apr 2012                          |                                  |        |
| 12                                       | Dinh Duc Minh   |                                     | BOS member  |                                   |  |   |         | 28 Apr 2014                          |                                  |        |
| 13                                       | Lam Dieu Phi  |                                     | Marketing Director  |                                   |  |   |         | 01 May 2016                          |                                  |        |
| 14                                       | Huynh Thi Kim Tui                                       |                                     | Distribution Center Director  |                                   |  |   |         | 01 Jan 2017                          |                                  |        |
| 15                                       | Le Thi Hong Nhung                                       |                                     | Finance Director –<br>Secretary's BOD - Authorized person to disclose information |                                   |  |   |         | 29 Oct 2010                          |                                  |        |
| 16                                       | Ho Bui Huan   |                                     | Chief Accountant  |                                   |  |   |         | 14 Jul 2016                          |                                  |        |
| <b>II. Related organizations</b>         |   |                                     |   |                                   |  |   |         |                                      |                                  |        |
| 1  | SH Pharmaceutical Joint Stock Company                   |                                     | Subsidiary (51% owned by DHG Pharma)  | 6403000044                        | 20 Jul 2007                              | The Planning and Investment Department of Hau Giang |         | 20 Jul 2007                          |                                  |        |
| 2  | DHG Travel One Member Limited Company                   |                                     | Subsidiary (100% owned by DHG Pharma)   | 5704000134                        | 26 Dec 2007                              | The Planning and Investment Department of Can Tho   |         | 26 Dec 2007                          |                                  |        |
| 3  | DHG Packaging and Printing 1 One Member Limited Company |                                     | Subsidiary (100% owned by DHG Pharma)   | 642041000007                      | 28 Sep 2012                              | The Management Board of Hau Giang Industrial Zone   |         | 28 Sep 2012                          |                                  |        |

| No. | Name of person/organization                   | Securities trading account (if any) | Position at the company (if any)      | ID no./ Business registration no. | Issued date of ID/ Business registration | Issued place of ID/ Business registration         | Address | Starting time to be a related person | Time stop being a related person | Reason |
|-----|---|-------------------------------------|---------------------------------------|-----------------------------------|--|---|---------|--------------------------------------|----------------------------------|--------|
| 4   | DHG Pharmaceutical One Member Limited Company |                                     | Subsidiary (100% owned by DHG Pharma) | 642041000005                      | 16 Aug 2010                              | The Management Board of Hau Giang Industrial Zone |         | 16 Aug 2010                          |                                  |        |
| 5   | Fuji Medic Limited Company                    |                                     | Subsidiary (100% owned by DHG Pharma) | 1801472944                        | 27 Sep 2016                              | The Planning and Investment Department of Can Tho |         | 27 Jul 2016                          |                                  |        |

**Note:**

- \* On 03 Oct 2016, the BOD adopted the changes in operating model of 11 subsidiaries to affiliated branches of DHG Pharma, including:
- + Approved the dissolution of 11 distribution subsidiaries: DT Pharma, CM Pharma, A&G Pharma, HT Pharma, ST Pharma, Bali Pharma, TVP Pharma, TOT Pharma, TG Pharma, B&T Pharma, VL Pharma
- + Approved the establishment of 11 branches under the parent company to receive the transfer of all activities of subsidiaries after the dissolution.
- + Deadline to close the book was on 31 Dec 2016. From 01 Jan 2017, will officially operate as affiliated branches of DHG Pharma.

Currently, the Company is still proceeding with dissolution procedures for the subsidiaries. For TOT Pharma, on 19 Jul 2017, the BOD approved the change of policy from the dissolution of TOT Pharma to transfer the whole charter capital of TOT Pharma.

## ANNEX II: LIST OF INTERNAL PERSONS AND RELATED PERSONS OF INTERNAL PERSONS

| No.  | Name of person/organization  | Securities trading account (if any) | Position at the company (if any) | ID no./ Business registration no. | Issued date of ID/ Business registration | Issued place of ID/ Business registration | Address | Share ownership (*) | Share ownership ratio (*) | Note  |
|--|--|-------------------------------------|----------------------------------|-----------------------------------|--|---|---------|---------------------|---------------------------|---|
| 1  | Hoang Nguyen Hoc   |                                     | BOD Chairman                     |                                   |  |   |         | 22,605,495          | 17.32%                    | Individual ownership: 0 share; SCIC representative: 22,650,495 shares |
| <b>Related organizations of Mr. Hoang Nguyen Hoc</b> |  |                                     |                                  |                                   |  |   |         |                     |                           |   |
| 1.1  | States Capital Investment Corporation (SCIC)                                 |                                     | Deputy General Director          |                                   |  |   |         | 56,626,237          | 43.31%                    |   |
| 1.2  | Vietnam Construction and Import - Export Joint Stock Corporation (VINACONEX) |                                     | BOD member                       |                                   |  |   |         | -                   |                           |   |
| <b>Related individuals</b>                           |  |                                     |                                  |                                   |  |   |         |                     |                           |   |
| 1.1  | Nguyen Thi Bach Huong  |                                     | Wife                             |                                   |  |   |         |                     |                           |   |
| 1.2  | Hoang Dieu Linh  |                                     | Son/Daughter                     |                                   |  |   |         |                     |                           |   |
| 1.3  | Hoang Bach Lien  |                                     | Son/Daughter                     |                                   |  |   |         |                     |                           |   |
| 1.4  | Ha Thi Hien  |                                     | Mother                           |                                   |  |   |         |                     |                           |   |
| 1.5  | Hoang Thi Ha   |                                     | Younger brother/sister           |                                   |  |   |         |                     |                           |   |
| 1.6  | Hoang Nguyen Hoa   |                                     | Younger brother/sister           |                                   |  |   |         |                     |                           |   |
| 1.7  | Hoang Thi Hoa  |                                     | Younger brother/sister           |                                   |  |   |         |                     |                           |   |
| 1.8  | Hoang Nguyen Hien  |                                     | Younger brother/sister           |                                   |  |   |         |                     |                           |   |
| 2  | Le Dinh Bui Tri  |                                     | BOD Vice Chairman                |                                   |  |   |         | 16,987,871          | 12.99%                    | Individual ownership: 0 share; SCIC representative: 16,987,871 shares |
| <b>Related organizations</b>                         |  |                                     |                                  |                                   |  |   |         |                     |                           |   |
| 2.1  | States Capital Investment Corporation (SCIC)                                 |                                     | Southern Branch Director - SCIC  |                                   |  |   |         | 56,626,237          | 43.31%                    |   |

| No.                          | Name of person/organization                   | Securities trading account (if any) | Position at the company (if any)           | ID no./ Business registration no. | Issued date of ID/ Business registration | Issued place of ID/ Business registration | Address | Share ownership (*) | Share ownership ratio (*) | Note  |
|------------------------------|---|-------------------------------------|--|-----------------------------------|--|---|---------|---------------------|---------------------------|---|
| 2.2                          | TLIS CORP                                     |                                     | BOD Chairman                               |                                   |  |   |         |                     |                           |   |
| <b>Related individuals</b>   |   |                                     |  |                                   |  |   |         |                     |                           |   |
| 2.1                          | Truong Thi Ngoc Anh                           |                                     | Mother                                     |                                   |  |   |         | -                   |                           |   |
| 2.2                          | Nguyen Thi Hoang Quyen                        |                                     | Wife                                       |                                   |  |   |         | -                   |                           |   |
| 2.3                          | Le Dinh Tri Duc                               |                                     | Son/Daughter                               |                                   |  |   |         | -                   |                           |   |
| 2.4                          | Le Dinh Tri Quang                             |                                     | Son/Daughter                               |                                   |  |   |         | -                   |                           |   |
| 2.5                          | Le Kim Van Anh                                |                                     | Older sister                               |                                   |  |   |         | -                   |                           |   |
| 2.6                          | Le Dinh Buu Trieu                             |                                     | Younger brother/sister                     |                                   |  |   |         | -                   |                           |   |
| 2.7                          | Le Dinh Buu Trung                             |                                     | Younger brother/sister                     |                                   |  |   |         | -                   |                           |   |
| <b>3</b>                     | <b>Pham Thi Viet Nga</b>                      |                                     | <b>BOD member, General Director</b>        |                                   |  |   |         | <b>302,737</b>      | <b>0.23%</b>              |   |
| <b>Related individuals</b>   |   |                                     |  |                                   |  |   |         |                     |                           |   |
| 3.1                          | Bui Thi Tram                                  |                                     | Mother                                     |                                   |  |   |         |                     |                           |   |
| 3.2                          | Huynh Thanh Nguyen                            |                                     | Husband                                    |                                   |  |   |         |                     |                           |   |
| 3.3                          | Pham Viet Thang                               |                                     | Younger brother                            |                                   |  |   |         | 4                   | 0.00%                     |   |
| 3.4                          | Pham Viet Bac                                 |                                     | Younger brother/sister                     |                                   |  |   |         |                     |                           |   |
| 3.5                          | Pham Viet Ha                                  |                                     | Younger brother/sister                     |                                   |  |   |         |                     |                           |   |
| 3.6                          | Huynh Thi Quynh Anh                           |                                     | Son/Daughter                               |                                   |  |   |         | 14.551              | 0.01%                     |   |
| 3.7                          | Huynh Tuan Anh                                |                                     | Son/Daughter                               |                                   |  |   |         |                     |                           |   |
| <b>Related organizations</b> |   |                                     |  |                                   |  |   |         |                     |                           |   |
| 3.1                          | DHG Pharmaceutical One Member Limited Company |                                     | Chairwoman of the Board of Members         |                                   |  |   |         |                     |                           |   |
| 3.2                          | Fuji Medic Co., Ltd.                          |                                     | Chairwoman of the Board of Members         |                                   |  |   |         |                     |                           |   |
| <b>4</b>                     | <b>Doan Dinh Duy Khuong</b>                   |                                     | <b>BOD member, Deputy General Director</b> |                                   |  |   |         | <b>17,014,988</b>   | <b>13.01%</b>             | <b>Individual ownership: 27,117 share; SCIC representative: 16,987,871 shares</b> |
| <b>Related individuals</b>   |   |                                     |  |                                   |  |   |         |                     |                           |   |

| No.                          | Name of person/organization                   | Securities trading account (if any) | Position at the company (if any)            | ID no./ Business registration no. | Issued date of ID/ Business registration | Issued place of ID/ Business registration | Address | Share ownership (*) | Share ownership ratio (*) | Note |
|------------------------------|---|-------------------------------------|---|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| 4.1                          | Doan Vinh Quang                               |                                     | Father                                      |                                   |  |   |         | -                   |                           |      |
| 4.2                          | Nguyen Thi Ngoc Diep                          |                                     | Mother                                      |                                   |  |   |         | -                   |                           |      |
| 4.3                          | Ha Thi Anh Tuyet                              |                                     | Wife  |                                   |  |   |         | -                   |                           |      |
| 4.4                          | Doan Dinh Duy Nguyen                          |                                     | Older brother                               |                                   |  |   |         | 780                 | 0.00%                     |      |
| 4.5                          | Doan Dinh Duy Dong                            |                                     | Younger brother                             |                                   |  |   |         | -                   |                           |      |
| 4.6                          | Doan Dinh Tuan Huy                            |                                     | Younger brother                             |                                   |  |   |         | 3,129               | 0.00%                     |      |
| 4.7                          | Doan Ha Anh                                   |                                     | Son/Daughter                                |                                   |  |   |         | -                   |                           |      |
| 4.8                          | Doan Van Anh                                  |                                     | Son/Daughter                                |                                   |  |   |         | -                   |                           |      |
| <b>Related organizations</b> |   |                                     |   |                                   |  |   |         |                     |                           |      |
| 4.1                          | TOT Pharmaceutical One Member Limited Company |                                     | TOT Pharma - Member of the Board of Members |                                   |  |   |         | -                   | -                         |      |
| 4.2                          | SH Pharmaceutical Joint Stock Company         |                                     | SH Pharma - BOD Chairman                    |                                   |  |   |         | -                   | -                         |      |
| <b>5</b>                     | <b>Tran Chi Liem</b>                          |                                     | <b>BOD member</b>                           |                                   |  |   |         | <b>0</b>            |                           |      |
| <b>Related individuals</b>   |   |                                     |   |                                   |  |   |         |                     |                           |      |
| 5.1                          | Le Thi Xuan Mai                               |                                     | Wife  |                                   |  |   |         |                     |                           |      |
| 5.2                          | Tran Viet Hong                                |                                     | Son/Daughter                                |                                   |  |   |         |                     |                           |      |
| 5.3                          | Tran Thi Xuan Lan                             |                                     | Son/Daughter                                |                                   |  |   |         |                     |                           |      |
| 5.4                          | Tran Thi Xuan Hoa                             |                                     | Son/Daughter                                |                                   |  |   |         |                     |                           |      |
| 5.5                          | Tran Chi Dung                                 |                                     | Younger brother/sister                      |                                   |  |   |         |                     |                           |      |
| 5.6                          | Tran Thi Viet Trieu                           |                                     | Younger brother/sister                      |                                   |  |   |         |                     |                           |      |
| 5.7                          | Tran Thi U                                    |                                     | Younger brother/sister                      |                                   |  |   |         |                     |                           |      |
| <b>6</b>                     | <b>Shuhei Tabata</b>                          |                                     | <b>BOD member</b>                           |                                   |  |   |         | <b>0</b>            |                           |      |
| <b>Related individuals</b>   |   |                                     |   |                                   |  |   |         |                     |                           |      |
| 6.1                          | Miyako Tabata                                 |                                     | Mother                                      |                                   |  |   |         |                     |                           |      |
| 6.2                          | Emiko Tabata                                  |                                     | Wife  |                                   |  |   |         |                     |                           |      |
| 6.3                          | Teppey Tabata                                 |                                     | Child                                       |                                   |  |   |         |                     |                           |      |
| 6.4                          | Shinpei Tabata                                |                                     | Child                                       |                                   |  |   |         |                     |                           |      |
| 6.5                          | Akiko Tabata                                  |                                     | Child                                       |                                   |  |   |         |                     |                           |      |
| 6.6                          | Masako Tabata                                 |                                     | Older sister                                |                                   |  |   |         |                     |                           |      |
| <b>7</b>                     | <b>Jun Kuroda</b>                             |                                     | <b>BOD member</b>                           |                                   |  |   |         | <b>0</b>            |                           |      |
| <b>Related individuals</b>   |   |                                     |   |                                   |  |   |         |                     |                           |      |
| 7.1                          | Akira Kuroda                                  |                                     | Father                                      |                                   |  |   |         |                     |                           |      |
| 7.2                          | Akiko Kuroda                                  |                                     | Wife  |                                   |  |   |         |                     |                           |      |

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|----------|---|-------------------------------------|--|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| 7.3      | Namiko Kuroda                                       |                                     | Daughter   |                                   |  |   |         |                     |                           |      |
| 7.4      | Shin Kuroda   |                                     | Older brother                                    |                                   |  |   |         |                     |                           |      |
| 7.5      | Taisho Pharmaceutical Co., Ltd                      |                                     | Member of Executive Committee                    |                                   |  |   |         | 31,956,096          | 24.44%                    |      |
| 7.6      | Taisho Co., Ltd. Shanghai                           |                                     | Chairman   |                                   |  |   |         |                     |                           |      |
| 7.7      | Taisho Pharmaceutical California Inc.               |                                     | General Director                                 |                                   |  |   |         |                     |                           |      |
| 7.8      | Taisho Pharmaceutical (M) Sdn. Bhd.                 |                                     | Director   |                                   |  |   |         |                     |                           |      |
| 7.9      | Taisho Pharmaceutical Asia (M) Sdn. Bhd.            |                                     | Director   |                                   |  |   |         |                     |                           |      |
| 7.10     | Taisho Pharmaceutical Singapore Private Limited     |                                     | Executive Director                               |                                   |  |   |         |                     |                           |      |
| 7.11     | Osotspa Taisho Pharmaceutical Co., Ltd.             |                                     | Director   |                                   |  |   |         |                     |                           |      |
| 7.12     | PT. Taisho Pharmaceutical Indonesia Tbk             |                                     | General Director                                 |                                   |  |   |         |                     |                           |      |
| 7.13     | Taisho Vietnam Co., Ltd.                            |                                     | Director   |                                   |  |   |         |                     |                           |      |
| 7.14     | HOE Pharma Holdings Sdn. Bhd.                       |                                     | Director   |                                   |  |   |         |                     |                           |      |
| 7.15     | Compania Internacional de Comercio, S.A.P.I de C.V. |                                     | General Director                                 |                                   |  |   |         |                     |                           |      |
| <b>8</b> | <b>Le Chanh Dao</b>                                 |                                     | <b>Deputy General Director, Finance Director</b> |                                   |  |   |         | <b>122,337</b>      | <b>0.09%</b>              |      |
| 8.1      | Huynh Thi Xinh                                      |                                     | Mother   |                                   |  |   |         | 0                   |                           |      |
| 8.2      | Phung Kim Phuong                                    |                                     | Wife   |                                   |  |   |         | 768                 | 0.00%                     |      |
| 8.3      | Le Duc Thang  |                                     | Older brother                                    |                                   |  |   |         | 0                   |                           |      |
| 8.4      | Le Van Huu  |                                     | Older brother                                    |                                   |  |   |         | 0                   |                           |      |
| 8.5      | Le Thi Hien Luong                                   |                                     | Younger brother/sister                           |                                   |  |   |         | 0                   |                           |      |
| 8.6      | Le Thi Luong Hao                                    |                                     | Younger brother/sister                           |                                   |  |   |         | 0                   |                           |      |
| 8.7      | Le Kien Quoc  |                                     | Younger brother/sister<br>Younger brother/sister |                                   |  |   |         | 0                   |                           |      |

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|-----------|-----------------------------|-------------------------------------|----------------------------------|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| 8.8       | Le Khuong Ninh              |                                     | Younger brother/sister           |                                   |  |   |         | 0                   |                           |      |
| 8.9       | Le Thi My Luong             |                                     | Younger brother/sister           |                                   |  |   |         | 0                   |                           |      |
| 8.10      | Le Trung Luong              |                                     | Younger brother/sister           |                                   |  |   |         | 0                   |                           |      |
| 8.11      | Le Phung Phuong Anh         |                                     | Son/Daughter                     |                                   |  |   |         | 0                   |                           |      |
| 8.12      | Le Phung Duy Anh            |                                     | Son/Daughter                     |                                   |  |   |         | 0                   |                           |      |
| <b>9</b>  | <b>Nguyen Ngoc Diep</b>     |                                     | <b>Deputy General Director</b>   |                                   |  |   |         | <b>7,368</b>        | <b>0.01%</b>              |      |
| 9.1       | Duong Thi Kim Tuyen         |                                     | Mother                           |                                   |  |   |         | 0                   |                           |      |
| 9.2       | Nguyen Kim Chi              |                                     | Older sister                     |                                   |  |   |         | 0                   |                           |      |
| 9.3       | Nguyen Ngoc Buu             |                                     | Brother                          |                                   |  |   |         | 0                   |                           |      |
| 9.4       | Nguyen Van Thuan            |                                     | Husband                          |                                   |  |   |         | 0                   |                           |      |
| 9.5       | Nguyen Hoang Khang          |                                     | Son/Daughter                     |                                   |  |   |         | 0                   |                           |      |
| 9.6       | Nguyen Ngoc Kim Khanh       |                                     | Son/Daughter                     |                                   |  |   |         | 0                   |                           |      |
| <b>10</b> | <b>Tran Quoc Hung</b>       |                                     | <b>Head of the BOS</b>           |                                   |  |   |         | <b>18,679</b>       | <b>0.01%</b>              |      |
| 10.1      | Nguyen Hong Nhung           |                                     | Wife                             |                                   |  |   |         |                     |                           |      |
| 10.2      | Tran Quoc Dung              |                                     | Child                            |                                   |  |   |         |                     |                           |      |
| 10.3      | Tran Thi Thuy Dung          |                                     | Child                            |                                   |  |   |         |                     |                           |      |
| 10.4      | Pham Thi Cap                |                                     | Mother                           |                                   |  |   |         |                     |                           |      |
| 10.5      | Tran Quoc Chau              |                                     | Older brother                    |                                   |  |   |         |                     |                           |      |
| 10.6      | Tran Thi Thu Huong          |                                     | Older sister                     |                                   |  |   |         |                     |                           |      |
| 10.7      | Tran Quoc Hung              |                                     | Younger brother/sister           |                                   |  |   |         |                     |                           |      |
| 10.8      | Tran Thi Thu Hanh           |                                     | Younger brother/sister           |                                   |  |   |         |                     |                           |      |
| 10.9      | Tran Thi Tuyen Hoa          |                                     | Younger brother/sister           |                                   |  |   |         |                     |                           |      |
| <b>11</b> | <b>Nguyen Phuong Thao</b>   |                                     | <b>BOS member</b>                |                                   |  |   |         | <b>-</b>            |                           |      |
| 11.1      | Nguyen Ninh Thuc            |                                     | Father                           |                                   |  |   |         |                     |                           |      |
| 11.2      | Tran Thu Thuy               |                                     | Mother                           |                                   |  |   |         |                     |                           |      |
| 11.3      | Nguyen Quynh Trang          |                                     | Older sister                     |                                   |  |   |         |                     |                           |      |
| 11.4      | Phan Hoang Giang            |                                     | Husband                          |                                   |  |   |         |                     |                           |      |
| 11.5      | Nguyen Duc Anh              |                                     | Son/Daughter                     |                                   |  |   |         |                     |                           |      |
| 11.6      | Phan Danh Nhat Minh         |                                     | Son/Daughter                     |                                   |  |   |         |                     |                           |      |
| <b>12</b> | <b>Mr. Dinh Duc Minh</b>    |                                     | <b>BOS member</b>                |                                   |  |   |         | <b>19</b>           | <b>0.00%</b>              |      |
| 12.1      | Tran Thi Tuyen Nga          |                                     | Mother                           |                                   |  |   |         |                     |                           |      |

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|------------------------------|---|-------------------------------------|---|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| 12.2                         | Ho Thi Thanh Phuong                           |                                     | Wife  |                                   |  |   |         |                     |                           |      |
| 12.3                         | Dinh Thi Bich Lieu                            |                                     | Older sister                                |                                   |  |   |         |                     |                           |      |
| 12.4                         | Dinh Phuong Khanh                             |                                     | Older brother                               |                                   |  |   |         |                     |                           |      |
| <b>13</b>                    | <b>Lam Dieu Phi</b>                           |                                     | <b>Marketing Director</b>                   |                                   |  |   |         | <b>3,924</b>        | <b>0.00%</b>              |      |
| <b>Related individuals</b>   |   |                                     |   |                                   |  |   |         |                     |                           |      |
| 13.1                         | Lam Van Men                                   |                                     | Father                                      |                                   |  |   |         |                     | 0.00%                     |      |
| 13.2                         | To Thuc Khen                                  |                                     | Mother                                      |                                   |  |   |         |                     | 0.00%                     |      |
| 13.3                         | Le Thi Linh Chi                               |                                     | Wife  |                                   |  |   |         |                     | 0.00%                     |      |
| 13.4                         | Lam Phuc Nguyen                               |                                     | Son   |                                   |  |   |         |                     | 0.00%                     |      |
| 13.5                         | Lam Khoi Nguyen                               |                                     | Son   |                                   |  |   |         |                     | 0.00%                     |      |
| 13.6                         | Lam Ngoc Chi                                  |                                     | Older sister                                |                                   |  |   |         |                     | 0.00%                     |      |
| 13.7                         | Lam Ngoc Huong                                |                                     | Older sister                                |                                   |  |   |         |                     | 0.00%                     |      |
| 13.8                         | Lam Ngoc Nhi                                  |                                     | Younger brother/sister                      |                                   |  |   |         |                     | 0.00%                     |      |
| 13.9                         | Lam Dieu Quoc                                 |                                     | Younger brother/sister                      |                                   |  |   |         |                     | 0.00%                     |      |
| <b>Related organizations</b> |   |                                     |   |                                   |  |   |         |                     |                           |      |
| 13.1                         | Vinh Hao Algae Processing Joint Stock Company |                                     | BOD Chairman                                |                                   |  |   |         |                     |                           |      |
| 13.2                         | TOT Pharmaceutical One Member Limited Company |                                     | TOT Pharma – Member of the Board of Members |                                   |  |   |         |                     |                           |      |
| <b>14</b>                    | <b>Le Thi Hong Nhung</b>                      |                                     | <b>Finance Director – BOD Secretary</b>     |                                   |  |   |         | <b>6</b>            | <b>0.00%</b>              |      |
| 14.1                         | Le Anh Tuan                                   |                                     | Father                                      |                                   |  |   |         |                     | 0.00%                     |      |
| 14.2                         | Tran Thi Theu                                 |                                     | Mother                                      |                                   |  |   |         |                     | 0.00%                     |      |
| 14.3                         | Le Hoang Nam                                  |                                     | Husband                                     |                                   |  |   |         | 123                 | 0.00%                     |      |
| 14.4                         | Le Thi Hong Gam                               |                                     | Older sister                                |                                   |  |   |         |                     | 0.00%                     |      |
| 14.5                         | Le Thi Hong Voc                               |                                     | Older sister                                |                                   |  |   |         |                     | 0.00%                     |      |
| 14.6                         | Le Thi Thanh Bac                              |                                     | Older sister                                |                                   |  |   |         |                     | 0.00%                     |      |
| 14.7                         | Le Thu Hien                                   |                                     | Younger sister                              |                                   |  |   |         |                     | 0.00%                     |      |
| 14.8                         | Le Nguyen Hung                                |                                     | Son/Daughter                                |                                   |  |   |         |                     | 0.00%                     |      |
| 14.9                         | Le Nhat Vuong                                 |                                     | Son/Daughter                                |                                   |  |   |         |                     | 0.00%                     |      |
| <b>15</b>                    | <b>Ho Buu Huan</b>                            |                                     | <b>Chief Accountant</b>                     |                                   |  |   |         | <b>9</b>            | <b>0.00%</b>              |      |

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|-----------|-----------------------------|-------------------------------------|-------------------------------------|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| 15.1      | Ho Cong Nghe                |                                     | Father                              |                                   |  |   |         |                     |                           |      |
| 15.2      | Nguyen Thi Nghiep           |                                     | Mother                              |                                   |  |   |         |                     |                           |      |
| 15.3      | Mrs. Le Thi Kim Lai         |                                     | Wife                                |                                   |  |   |         |                     |                           |      |
| 15.4      | Ho Le Kha Han               |                                     | Son/Daughter                        |                                   |  |   |         |                     |                           |      |
| 15.5      | Ho Le Khoi Nguyen           |                                     | Son/Daughter                        |                                   |  |   |         |                     |                           |      |
| 15.6      | Ho Buu Ky                   |                                     | Younger brother/sister              |                                   |  |   |         |                     |                           |      |
| 15.7      | Ho Mong Nuong               |                                     | Younger brother/sister              |                                   |  |   |         |                     |                           |      |
| 15.8      | Ho Mong Kinh                |                                     | Younger brother/sister              |                                   |  |   |         |                     |                           |      |
| 15.9      | Ho Mong Trac                |                                     | Younger brother/sister              |                                   |  |   |         |                     |                           |      |
| <b>16</b> | <b>Huynh Thi Kim Tvoi</b>   |                                     | <b>Distribution Center Director</b> |                                   |  |   |         | <b>20,878</b>       | <b>0.02%</b>              |      |
| 16.1      | Nguyen Xuan Huan            |                                     | Husband                             |                                   |  |   |         | 3,552               | 0.00%                     |      |
| 16.2      | Nguyen Xuan Huy             |                                     | Son/Daughter                        |                                   |  |   |         |                     |                           |      |
| 16.3      | Nguyen Huynh Nhat Huyen     |                                     | Son/Daughter                        |                                   |  |   |         |                     |                           |      |
| 16.4      | Huynh Thi Cam Hong          |                                     | Older sister                        |                                   |  |   |         |                     |                           |      |
| 16.5      | Huynh Thi Cam Thu           |                                     | Older sister                        |                                   |  |   |         |                     |                           |      |
| 16.6      | Huynh Hoa Dan               |                                     | Older brother                       |                                   |  |   |         |                     |                           |      |
| 16.7      | Huynh Thi Kim Viet          |                                     | Older sister                        |                                   |  |   |         |                     |                           |      |
| 16.8      | Huynh Thi Kim Sang          |                                     | Older sister                        |                                   |  |   |         | 15                  | 0.00%                     |      |
| 16.9      | Huynh Thi Kim Thanh         |                                     | Younger brother/sister              |                                   |  |   |         | 15                  | 0.00%                     |      |
| 16.10     | Huynh Thi Ut                |                                     | Younger brother/sister              |                                   |  |   |         |                     |                           |      |

(\*) Number of shares owned is accordance with list of shareholders on 05 Jul 2017.